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1 Geospatial statistics elucidate competing geological controls on
2 natural CO₂ seeps in Italy

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9 **ABSTRACT**

10 Site selection for the geological storage of CO₂ for long timespans requires an understanding of the
11 controls on containment, migration and surface seepage of subsurface CO₂ fluids. Evidence of
12 natural CO₂ migration from depth to surface is documented at 270 sites from Italy, a prolific CO₂
13 province. Previous studies indicate that CO₂ delivery to and from buried structures that host CO₂
14 accumulations is fault controlled, but that competing controls on the CO₂ flow pathways affect the
15 location and style of CO₂ release. Here, we conduct a meta-analysis using a novel geospatial
16 approach to statistically determine the relationship between geological setting and structures and
17 the CO₂ seep spatial distribution and characteristics (morphological type, flux and temperature) in
18 central Italy. We find that seep distribution differs on two spatial scales corresponding to geological
19 setting. On large scales (>5 km) seeps are isotropically distributed and align with regional structures
20 such as anticlines, decollements, and extensional faults. On local scales (<5 km) seeps cluster and
21 align with subsidiary geologic structures, including faults and lithological boundaries. The detailed
22 location and flux of seeps within clusters is influenced by regional structural domain: in the
23 Tyrrhenian seeps tend to be located along fault traces; whereas seeps are located as springs in tip
24 and ramp regions of fault scarps in the Apennines. Thus, our geospatial approach evidences, at a
25 regional scale, how macro-crustal fluid flow is governed by deep extensional and compressional
26 features, but, once CO₂ reaches shallower structures, how smaller-scale features and
27 hydrogeological factors distribute the CO₂ fluids in the near-surface, dependent on the geological
28 setting. This work not only demonstrates useful application of a novel geospatial approach to
29 characterize competing crustal controls on CO₂ flow at different scales, but also informs the design
30 of appropriate site characterization and surface monitoring programs at engineered carbon stores.

31 **Keywords:** CO₂ seeps; crustal fluid flow; CO₂ storage; geographical information systems (GIS); site
32 characterization; Italy.

33

34 INTRODUCTION

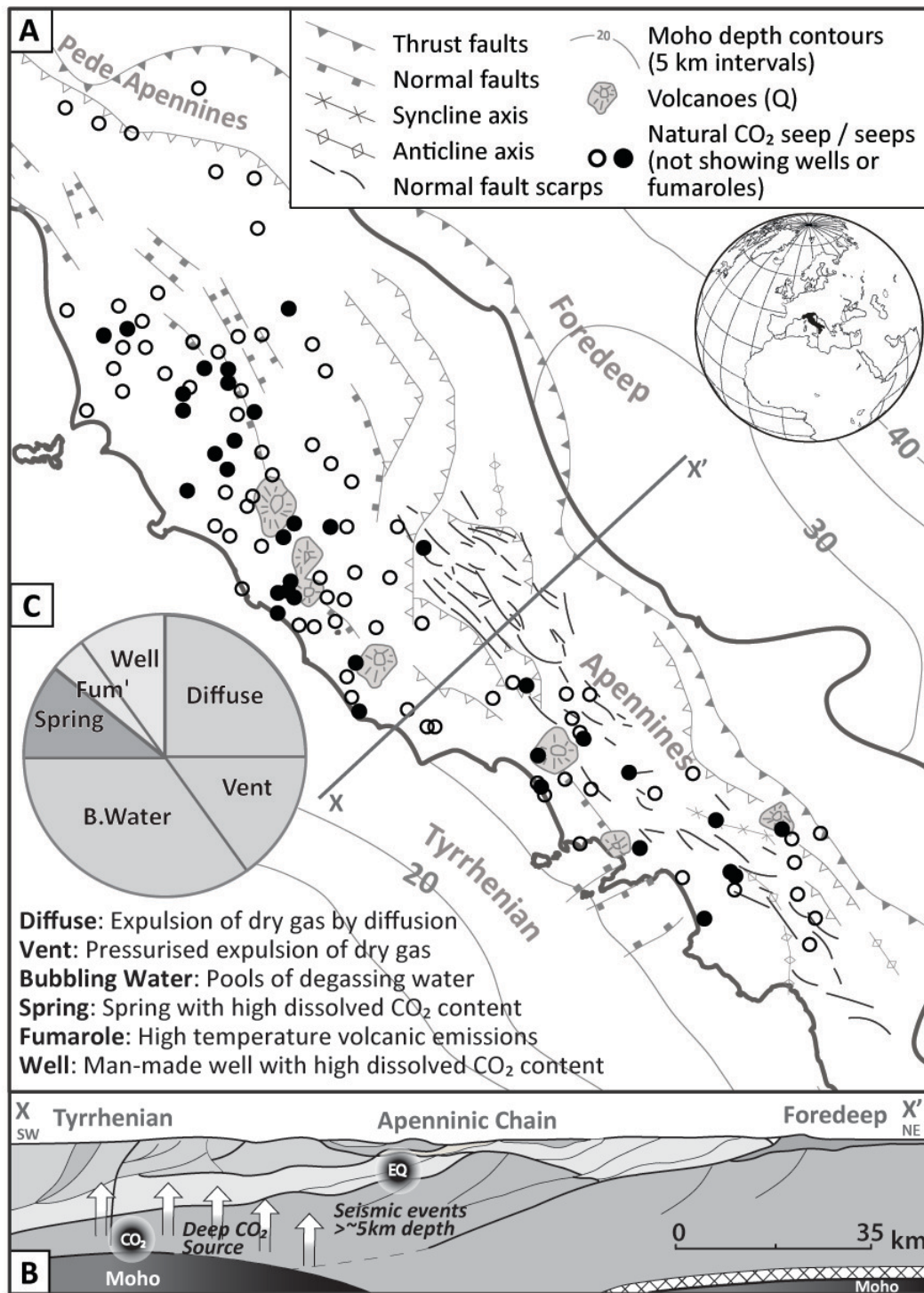
35 Carbon Capture and geological Storage (CCS) can significantly reduce anthropogenic CO₂ emissions
36 from large industrial sources of CO₂ (IPCC, 2005; Scott et al., 2012). However for CCS to contribute
37 effectively to climate change mitigation, the CO₂ must remain in the subsurface for tens of
38 thousands of years (IPCC, 2005; Shaffer, 2010). Examining naturally-occurring CO₂ seeps allows
39 quantitative examination of the diverse crustal pathways taken by CO₂ migrating from depth
40 (Burnside et al., 2013; Gal et al., 2012; Miocic et al., 2016; Pearce et al., 1996), and thus guides the
41 selection of secure storage sites, and the robust design of low-cost monitoring programs capable of
42 detecting potential leakage to surface. Further, understanding of CO₂ flow pathways not only
43 informs leak prevention, but also leak remediation (IEA GHG, 2007).

44 Natural CO₂ seepage is widespread in Italy (Minissale, 2004), where 308 CO₂ seeps at 270 locations
45 exhibit a variety of surface expressions ('types'), temperatures and fluxes (Chiodini et al., 2008).
46 These seeps have already proven valuable for studying the environmental and social impact of CO₂
47 escape (Beaubien et al., 2008; Roberts et al., 2011), storage site monitoring techniques (Bateson et
48 al., 2008) and CO₂ leak pathways (Roberts et al., 2017; Trippetta et al., 2013).

49 The location of subaerial CO₂ seeps in Italy are shown in Figure 1, along with major structural
50 features. These structures are mostly derived from tectonic processes associated with the
51 subduction of the Adria plate beneath the European margin (Cosentino et al., 2010; Ghisetti and
52 Vezzani, 2002). Initiating in the Miocene, NE-SW compression caused tectonic stacking of Mesozoic-
53 Tertiary carbonate platform and foredeep sediments which concentrated in a NE migrating thrust
54 belt. Coeval back-arc extension thinned the crust in the Tyrrhenian sector, leading to high heat flow
55 and active volcanism since the Pliocene and developing distinct NW-SE trending structural domains
56 shown in Figure 1; the thinned Tyrrhenian back arc, the thrust belt, and the thickened Adriatic
57 foredeep.

58 CO₂ seep distribution and flux concentrates in the peri-Tyrrhenian (Chiodini et al., 2004) and
59 decreases towards the Apennines, where modern day seismicity concentrates. Few seeps occur
60 towards the foredeep. Individual seep CO₂ fluxes range from < 1 to > 2000 tonnes/day (t/d) (Chiodini
61 et al., 2010), but 10-100 t/d is most common (Chiodini et al., 2008; Roberts et al., 2011). Overall
62 non-volcanic diffuse regional CO₂ release from central and southern Italy is globally significant
63 (Ascione et al., 2018; Chiodini et al., 2017). Studies find that seeping CO₂ may have a mixture of
64 origins (Ascione et al., 2018; Minissale, 2004), but the largest component derives from deep
65 degassing from a mantle contaminated with subducted crustal carbonates (Chiodini et al., 2011;
66 Gambardella et al., 2004; Italiano et al., 2009; Minissale, 2004).

67 [Fig 1]



68

69 **Fig 1.** Schematic map of Italy showing the location of regional geological structures and Quaternary (Q)
 70 volcanoes (adapted from Brozzetti, 2011; Patacca et al., 2008), CO₂ seeps (Chiodini et al., 2008) (where filled
 71 symbols indicate multiple seeps), Moho depth contours (Di Stefano, 2011) and mapped normal fault scarps
 72 after (Roberts, 2008). Filled symbols show multiple seeps. Location of Italy shown on globe inset. (b): Crustal
 73 cross-section of the central Apennines modified from (Calabro et al., 2003; Ghisetti and Vezzani, 2002;
 74 Improta et al., 2003). While earthquakes (EQ) and CO₂ delivery occur across the section, circles indicate the
 75 main, simplified, occurrences. (c): Proportion of CO₂ seep types classified qualitatively according to surface
 76 expression.

77 Numerous studies of seep systems in Italy have highlighted the role of buried geological structures
 78 in Mesozoic carbonates on CO₂ accumulation and leakage to surface. These include shallow (~1 km)

79 or deep (~ 5 km) anticlines (Bicocchi et al., 2013; Chiodini et al., 2010; Roberts et al., 2017) and
80 horsts (Carapezza and Tarchini, 2007), but CO₂ accumulations also occur in shallow pockets within
81 Pleistocene sands (Barberi et al., 2007; Bigi et al., 2014). CO₂ delivery to and from these structures
82 tends to be fault associated (Agosta et al., 2008; Annunziatellis et al., 2008; Ghisetti et al., 2001).
83 Indeed, buried faults have been identified from CO₂ or Radon gas anomalies in Pleistocene cover
84 (Bigi et al., 2014; Ciotoli et al., 2015; Etiope et al., 2005). At depth, CO₂ is known to affect fault
85 properties (Collettini et al., 2008; Collettini and Holdsworth, 2004; Smith et al., 2008) and
86 seismogenesis (Bonini, 2009a; Chiodini et al., 2004; Collettini and Barchi, 2002; Di Luccio et al., 2018;
87 Malagnini et al., 2012; Miller et al., 2004) in Italy. Seismic events are observed to affect CO₂ seep
88 flux and style (Bonini, 2009b; Heinicke et al., 2006). While fault affect crustal fluid flow by different
89 mechanisms (Choi et al., 2016; Faulkner et al., 2010), and offer important barriers or conduits for
90 CO₂ flow in the subsurface, along-strike permeability of faults is highly variable (Curewitz and
91 Karson, 1997; Faulkner et al., 2010), and towards the surface, many other factors influence local gas
92 flow pathways, including topographic and hydrological factors (Roberts et al., 2014) and vadose
93 zone properties (Annunziatellis et al., 2008; Roberts and Stalker, 2017). As such, CO₂ fluid pathways
94 are affected by competing crustal controls, from regional geological structures km's deep to top soil
95 composition.

96 While several regional and sub-regional studies of CO₂ seep occurrences are reported (Chiodini et
97 al., 1995; Frondini et al., 2008), the dominant controls on CO₂ seepage have not yet been
98 systematically studied across a range of scales and geological settings. Here we address this gap.
99 We adopt a novel macroscopic approach to illuminate the competing crustal controls on natural
100 CO₂ fluid pathways by applying a novel geospatial statistical approach, the two-point spatial
101 correlation function, to a database of CO₂ seep characteristics integrated with geological data from
102 central Italy. The two-point spatial correlation function is a technique developed for cosmology
103 (Peebles, 1980), and previously used in earth science only to investigate earthquake aftershock
104 distributions (Richards-Dinger et al., 2010). The method quantifies the departure from homogeneity
105 of point data, allowing the point distributions and orientations to be examined at a range of scales.
106 As such, we do not examine each seep, cluster of seeps, or region of degassing on a case by case
107 basis; given that these have been the subject of numerous previous studies. Rather, we focus on
108 using the rich geospatial dataset of CO₂ seepage in Italy to explore whether geospatial statistics can
109 elucidate the geological controls on seep location, distribution, and characteristics over the entire
110 region of central Italy.

111 **METHODS**

112 The database of CO₂ seeps (Chiodini et al., 2008) quantifies seep location, morphological type, flux
113 and temperature (where data are available). We do not consider wells (boreholes known to leak
114 CO₂) or fumaroles in our analyses since man-made and volcanic seeps are not representative of
115 leakage from geological CO₂ stores. The remaining seep data are analyzed together with geological
116 structures and geological boundaries in mainland Italy, including 1:1M and 1:100k scale geological
117 maps (ISPRA, 2010) (in this dataset, only the location of the fault trace is known; there is no
118 information on fault characteristics, such as type, throw, age), normal fault scarps in the Apennines
119 (Roberts, 2008), seismic events, and subsurface carbonate structures (Nicolai and Gambini, 2007).

120 For more detail on these data see SI Methods. A synthetic Poisson (random) point distribution is
121 used as a 'control' to compare against the seep data. The synthetic points are distributed within the
122 areal extent of mainland Italy. A second Poisson distribution is created with the areal extent the
123 Tyrrhenian, since most seeps are located in this region (see SI Methods).

124 We used two approaches to test the scale-dependence of point spatial relationships:

125 (1) Proximity analyses determined the distance and azimuth of seeps to the nearest surface trace
126 of a fault or lithological contact. We used the built in ArcGIS proximity analysis tool to find the
127 point on a fault line that is the shortest distance from a seep, and then take the distance and
128 azimuth between the seep and that point of the fault. This tells us how far the nearest fault is
129 from each seep, and where the seep is in relation to that fault.

130 (2) Point clustering was first examined using standard GIS tools (see SI Methods) then analyzed
131 more sophisticatedly using the two-point spatial correlation function. The two-point correlation
132 method quantifies the departure from homogeneity of a distribution of points. The correlation
133 function is expressed as the probability of finding a pair of points within an area, and is usually
134 explored over an area of incrementally increasing radius. The correlation function plots as a power
135 law, $P \propto r^{\kappa}$, where P is probability, r is radius, and the constant κ describes the spatial distribution:
136 for randomly distributed points, $\kappa = 2$; for clustered points, $\kappa < 2$; for points randomly distributed on
137 a line, $\kappa = 1$. The distribution of azimuths between pairs of points can also be measured by this
138 technique. Any change in point azimuths over increasing area of study indicates anisotropy in the
139 point distribution (i.e. whether and how the location of points in relation to each other changes as
140 the area of study increases).

141 RESULTS

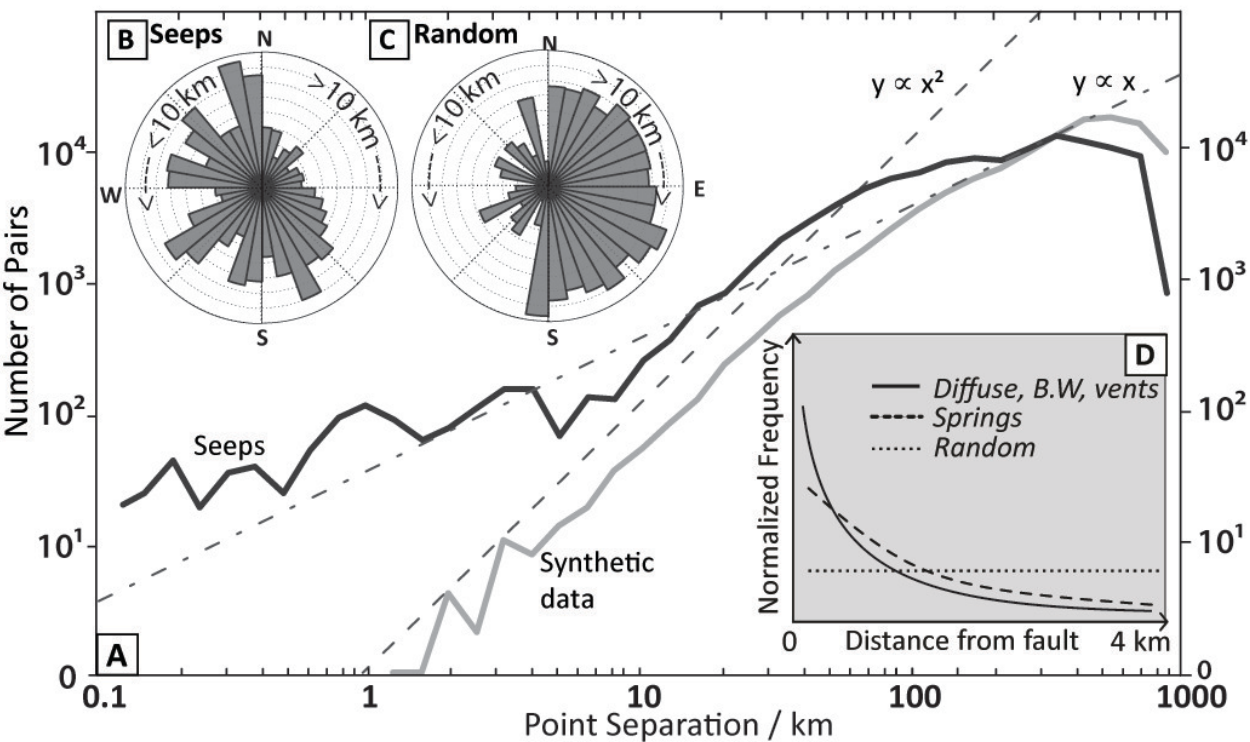
142 Seep Spatial Distributions

143 Two-point correlation function for seep and synthetic data (Fig 2a) shows that separation
144 distances control distribution:

- 145 i) Between ~5 - ~100 km the correlation function is the same for seep and synthetic data and $\kappa =$
146 2, indicating these points are isotropically distributed. The roll-off at distances greater than 100
147 km is a finite size (censoring) effect (Bonnet et al., 2001) from the spatial extent of Italy and is
148 less notable in the synthetic data because points are distributed across the width of Italy
149 whereas seepage focusses west of the Apennines. Indeed, roll-off is similar for synthetic and
150 seep when the synthetic points are distributed only in the Tyrrhenian (see SI Fig 3).
151 ii) At separation distances of $< \sim 5$ km, κ decreases to $\sim 0.5-1$, indicating non-random spatial
152 clustering ($\kappa = 1$ indicates seeps are aligned, and synthetic data κ remains ~ 2)."

153 The distribution of azimuths between all pairs of seeps and synthetic points is separated above
154 and below 10 km, the distance where the κ function begins to change (Figs. 2b, c). At separation
155 distances < 10 km seeps show several orientations approximately $30-40^\circ$ apart. Synthetic data
156 show peaks that relate to few point pairs rather than a preferred orientation. Above 10 km, seep
157 pairs show a preferred NW-SE ($140-160^\circ$) orientation which synthetic data does not exhibit. Spatial
158 relationships are unaffected by outcrop shape/extent or seep density (see SI Results, SI Fig 3).

159 CO₂ seeps in mainland Italy are significantly clustered (99.9% confidence) compared to a spatially
 160 random process and form small clusters (<5 km width) that occur ~20 km apart (see SI Fig 2).
 161 When analyzed by seep type, only springs are not significantly clustered (see SI table 1).



162
 163 **Figure 2(a):** Point-distance correlation functions for seep and synthetic (random) data in mainland Italy,
 164 showing the break in slope for seep data around ~5 km, and roll-off towards ~100 km in both datasets. The
 165 synthetic dataset is not clustered so few pairs are <10 km apart. Inset shows distribution of azimuths for
 166 points <10 km (anticlockwise) and >10 km (clockwise) for (b) seeps, and (c) synthetic data. Seeps show
 167 several favored orientations <10 km and NW-SE trend >10 km separation. (d) Normalized line histogram of
 168 seep types and distances from lithological boundaries and faults. B.W refers to bubbling water seeps.

169 **Role of Geological Structures**

170 Seeps spatially occur close to faults (Fig 2d), and all seep types are exponentially more common
 171 closer to fault traces except springs which show a much weaker, near-linear, increase. Although
 172 the resolution of the fault populations limits the confidence of spatial interrogation at distances <1
 173 km, 90% of vent, diffuse and bubbling water seeps are located <1 km, increasing to 2 km for
 174 springs. These relationships are consistent for both geological datasets (1M, 100k). Seep-fault
 175 azimuths are principally SW (-NE).

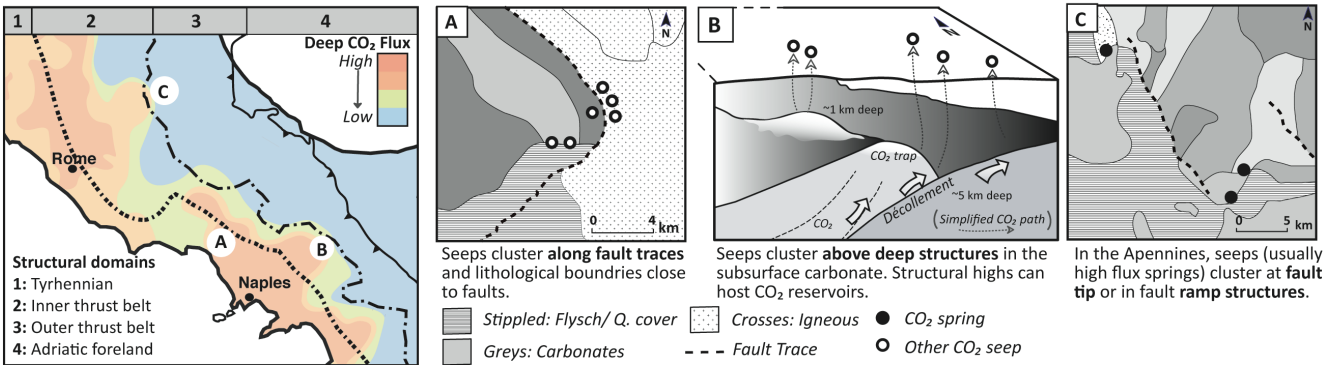
176 Seeps are also preferentially located towards lithological boundaries; 76% of all seeps and all CO₂
 177 springs are located < 1 km from lithological boundaries for both geological datasets (Fig 2d and
 178 3a). Seeps show no favored orientation from lithological contacts, unlike faults. Seep flux and
 179 temperature datasets are incomplete, but neither correlate with proximity to faults or lithological
 180 contacts.

181 Known seeps occur above structural highs of Mesozoic carbonate subsurface topography. For
 182 example, two CO₂ seeps occur above an anticline crest known to host CO₂ (Chiodini et al., 2007;

183 Chiodini et al., 2010), and others appear near to the crest, or local highs on the flanks, of
184 carbonate structures and décollements (Fig 3b).

185 In the seismically-active Apennines, seeps are rarely located along fault scarps. The few (37) CO₂
186 seeps which are located <10 km of a fault scarp are mostly (70%) springs with high fluxes (all but
187 one seep with quantified flux emit >10 t/d). Unlike seeps towards the Tyrrhenian, Apennine seeps
188 are located SSE of faults and typically positioned toward the fault tip or in ramp structures in fault
189 step-over zones (Fig 3c).

190 [Fig 3]



191
192 **Figure 3:** Map of central Italy showing CO₂ seepage in the major structural domains (Ghisetti and Vezzani, 2002),
193 distribution of deep CO₂ flux (Chiodini et al., 2004), and the location of three examples illustrating the range of
194 structural controls on CO₂ seeps within the three domains of degassing. The specific lithologies in (a) and (c) are
195 not indicated here, but can be found from (ISPRA, 2010). The three scenarios are not mutually exclusive.

196 A) *Major and minor fault traces.* Near Suio in Castelforte (Lazio), where 4 bubbling water and 2 vent seeps
197 located along, or close to, fault traces and lithological boundaries in 1:1M and 1:100k geological datasets (ISPRA,
198 2010) which do not specify the fault types.

199 B) *Leakage from subsurface structures.* Close to Rocca San Felice in Avellino (Campania), where 2 CO₂ vents are
200 located above the Monte Forcuso anticline that is known to host CO₂ reservoir.

201 C) *Fluid flow at fault tip points.* East side of Rieti basin (Lazio) where 3 springs (2 high, 1 very high flux) emerge
202 towards the fault tip points of a normal fault scarp, rather than along the fault trace. The scarp was mapped in
203 detail by (Roberts, 2008) (shown in the image).

204

205 DISCUSSION

206 Subsurface plumbing of CO₂ fluids

207 Seeps are preferentially located near to faults and show several preferred point pair azimuths
208 within clusters (Fig 2). Regional NW-SE structures may be a primary control on CO₂ seepage, but
209 towards the surface it seems that any fault (i.e. any range of orientation) is the secondary control
210 that governs where seeps emerge within a cluster. Fault orientations are more varied in the
211 1:100k dataset than the 1:1M (see SI results). So as well as subsidiary faults and fractures, which
212 can exhibit a wide range of orientations to the primary deformation structure there are also
213 structures which pre-date the Miocene compression and extension (Bigi and Costa Pisani, 2005).
214 CO₂ migrating from buried anticline or horst structures may do so via whichever of these features
215 provide transmissive pathways.

216 As observed by previous authors, our analyses find that geological structures determine the
 217 presence and location of CO₂ seeps in Italy. We also observe that distance from a fault influences
 218 the seep type (Fig 2d). Seep type may therefore indicate the degree of near-surface spread from
 219 geological structures, and therefore the relative control of other geological and hydrological
 220 factors other than the fault trace (Roberts et al., 2014). For example, compared with other seep
 221 types, the location of CO₂ springs shows the weakest relationship with faults and the strongest
 222 relationship with lithological boundaries. It is not surprising that crustal migration pathways of
 223 aqueous CO₂ fluids differ from gaseous or free phase CO₂. The location of CO₂ springs is controlled
 224 by the hydrogeological characteristics of the aquifers. Assuming the aquifer is well mixed, external
 225 CO₂ could have entered the aquifer at any point(s) within the aquifer subsurface extent, in which
 226 case CO₂ rich springs do not indicate the location of CO₂ fluid flow pathways from depth i.e. the
 227 spring may be located far from the fault trace(s) supplying the CO₂.

228 The robustness of our results is of course limited by the resolution of the geological data and the
 229 completeness of the gas seep information. However, our meta-analysis identifies three different
 230 seep settings in central Italy (Fig 3). These settings are distinct, but are not mutually exclusive, and
 231 align with current understanding of crustal controls on fluid flow.

232 1. *Major and minor fault traces.* In the Tyrrhenian, the extended back-arc region, 90% of vent,
 233 bubbling water and diffuse seeps are located within 1 km of a fault (Fig 3a). The location of seeps
 234 suggests that in this geological setting CO₂ fluids are channeled by barrier/conduit properties of
 235 the fault wall and so seeps emerge along it, close to fault traces.

236 2. *Leakage from subsurface structures.* In many cases, deep geological structures supply CO₂ to
 237 surface seeps. As such, due the structural trend of compression and extension structures in central
 238 Italy the resulting seep clusters supplied by buried CO₂ accumulations will be located NW-SE of
 239 each other (Fig 3b). The orientation of faults related to, or pre-or post- dating these subsurface
 240 structures are likely to be responsible for the leakage of CO₂ to surface. For example, at Mefite
 241 D'Ansanto, the example in Fig 3b, observed polarization of ambient seismic noise may indicate the
 242 presence of faults governing gas escape from the Monte Forcuso CO₂ reservoir (Pischiutta et al.,
 243 2013).

244 3. *Fluid flow at fault tip points.* There are fewer CO₂ seeps located within the Apennines compared
 245 to the Tyrrhenian sector, and Apennine seeps tend to be springs with high fluxes and occur at
 246 lithological boundaries (Fig 3c). This indicates that there are limited pathways to surface for free-
 247 phase CO₂ fluids in this region, which is also the most seismically active part of central Italy.
 248 Instead, CO₂ from depth enters aquifers, and its emergence as CO₂ springs is then controlled by
 249 hydrogeology. We find that springs tend to emerge close to fault tips or in ramp structures in step-
 250 over zones. While these fault scarps are clearly an important control on crustal fluid flow in the
 251 Apennines, it is not necessarily the case that fault tips or ramp structures in step-over zones offer
 252 pathways for CO₂ migrating all the way from depth to surface.

253 We propose that orientation of regional geological structures leads to the observed surface
 254 distribution of seep clusters in central Italy (Fig 2). Extensional faults of the Apennines and major
 255 normal faults in the Tyrrhenian sector align NW-SE (see SI Results), and although compressional
 256 structures are more variable in their orientation, these are also predominantly NW-SE in central

Italy, where CO₂ degassing concentrates. This means that our analyses cannot distinguish which fault types exert greatest control on CO₂ seep distributions and characteristics. Ghisetti et al. (2001) found that extension-related structures in Italy permit fluid flow during deformation, whereas contraction-related structures were initially closed but opened during subsequent exhumation and extension. Regional extensional and compressional features in Italy may therefore be important for governing crustal fluid flow, supplying deep-derived CO₂ to buried structures within the tectonized Mesozoic carbonates, and ultimately to seep clusters (Fig 3b). However, at a global scale, Tamburello et al. (2018) have shown that there is a spatial correlation between CO₂ discharges and the presence of active fault systems, and particularly with normal slip faulting.

Implications for Carbon Capture and Storage

Understanding the geological controls on CO₂ fluid flow can aid the prevention of leakage from engineered CO₂ stores by informing effective site selection criteria. Moreover, should unintended leakage to surface occur, understanding the geological controls on CO₂ fluid flow can inform the assessment of the potential CO₂ seep locations and characteristics.

In Italy, we observe that CO₂ seepage is clustered, and that the location, distribution, and type of seepage within and between these clusters are controlled by a number of factors. These include, in order of importance (as highlighted by our study) the orientation of regional structures, the geological setting, the density and orientation of local geological structures, and whether CO₂ is migrating in spring water or as a separate phase. It is therefore important to characterize not only the storage formation and overburden, but to consider the storage system in the context of the geological setting and near-surface geology.

Our work contributes towards predictive models of CO₂ leak pathways. These models are important to de-risk sites selected for engineered storage, since site selection protocols can minimize risk of leakage (Miocic et al., 2016). Further, whether CO₂ migrates and/or is emitted to surface as gas or as a dissolved constituent of springs has implications for the environmental and social risk and impact of CO₂ leakage (Roberts et al., 2011; Lemieux, 2011; Jones et al., 2015), and so the design of robust and cost-effective monitoring programs to detect CO₂ migrating to surface should CO₂ migrate from its primary storage formation (Jenkins et al., 2015).

Author Contributions

J. J. Roberts designed the research, conducted the data analysis and wrote the paper. A. F. Bell contributed to research design and data analysis, and R. A. Wood, and R. S. Haszeldine contributed towards the research design and writing of this paper.

Competing Financial Interests

There are no conflicts of interest to declare.

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297 **Data availability statement**

298 The analyses presented in the paper used publicly available datasets, as specified in the article text
299 and the SI Methods.

300

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